# UNITED COUNCIL NEUROLOGIC SUBSPECIALTIES

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# Common Program Requirements Draft 7

Approved July 14, 2020

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#### **Common Program Requirements**

The common program requirements are standards required of accredited programs in all UCNS subspecialties. They are shown in **bold** typeface below. Requirements in regular typeface are defined by each subspecialty.

#### I. Introduction

A. [Description and parameters of Subspecialty]

#### **B.** Purpose of the Training Program

 1. The purpose of the training program is to prepare the physician for independent practice in [Subspecialty]. This training must be based on supervised clinical work with increasing patient care responsibilities and transition to independent practice over the course of the training program.

2. The program must require its fellows to obtain competencies in the six core competency areas defined by the Accreditation Council for Graduate Medical Education (ACGME). It is the responsibility of the program to provide precise definitions of specific knowledge, skills, and behaviors, as well as educational opportunities in which the fellow must demonstrate competence in those areas. The program's curricular goals and objectives must correlate to the appropriate ACGME Core Competencies and global learning objectives.

3. [Additional content as determined by the Subspecialty]

#### II. Institutional Support

There are three types of institutions that may comprise a program: 1) the sponsoring institution, which assumes ultimate responsibility for the program and is required of all programs, 2) the primary institutionclinical site, which is the primary clinical training site and may or may not be the sponsoring institution, and 3) the participating secondary clinical site institution, which provides required experience that cannot be obtained at the primary clinical site or sponsoring institutions.

#### A. Sponsoring Institution

 The sponsoring institution must be accredited by the ACGME or the Canadian Excellence in Residency Accreditation (CanERA), formerly the Royal College of Physicians and Surgeons of Canada (RCPSC) and meet the current ACGME Institutional Requirements or CanERA General Standards of Accreditation for Institutions with Residency Programs. This responsibility extends to fellow assignments at all primary and participating institutionssecondary clinical sites. The sponsoring institution must be appropriately organized for the conduct of graduate medical education (GME) in a scholarly environment and must be committed to excellence in both medical education and patient care.

2. A letter demonstrating the sponsoring institution's responsibility for the program must be submitted. The letter must:

a. confirm sponsorship and oversight of the training program's GME activities,

b. state the sponsoring institution's commitment to training and education, which includes the resources provided by the sponsoring institution, the primary institution, and/or the departments that support the program

- director's fulfillment of his or her duties as described in these program requirements, and
- c. be signed by the designated institution official of the institution as defined by ACGME or postgraduate dean as defined by CanERA.
- 3. Institutional support and oversight are further demonstrated by the required designated institution official/postgraduate dean signature on all program accreditation and reaccreditation applications and annual report submissions.

#### **B.** Primary InstitutionClinical Site

- Assignments at the primary institution clinical site must be of sufficient duration to ensure a quality educational experience and must provide sufficient opportunity for continuity of care. The primary institution clinical site must demonstrate the ability to promote the overall program goals and support educational and peer activities.
- 2. A letter from the appropriate department chair(s) at the primary institution clinical site must be submitted. The letter must:
  - a. confirm the relationship of the primary <u>institution clinical site</u> to the program,
  - b. state the primary <u>institution's clinical site's</u> commitment to training and education, and
  - c. list specific activities that will be undertaken, supported, and supervised at the primary institutionclinical site.

#### C. Participating Institutions Secondary Clinical Site

- Assignments to participating secondary clinical sites institutions must be based on a clear educational rationale, must have clearly stated learning objectives and activities, and should provide resources not otherwise available to the program. When multiple participating institutions secondary clinical sites are used, there should be assurance of the continuity of the educational experience.
- Assignments at participating institutions secondary clinical sites must be of sufficient duration to ensure a quality educational experience and should provide sufficient opportunity for continuity of care. All participating institutions secondary clinical sites must demonstrate the ability to promote the overall program goals and support educational and peer activities.
- 3. If a participating institution secondary clinical site is used, a participating institution secondary clinical site letter must be submitted. The letter must:
  - a. confirm the relationship of the participating institution secondary clinical site to the program,
  - b. state the participating institution's secondary clinical site's commitment to training and education,
  - c. list specific activities that will be undertaken, supported, and supervised at the participating institutionsecondary clinical site, and
  - d. be signed by the appropriate official, e.g., department chair or medical director, of the participating institutionsecondary clinical site.

#### III. Fellow Appointment

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#### A. Fellow Complement

The fellow complement is the number of fellows allowed to be enrolled in the program at any given time, e.g., across all training years.

1. There must be at least [#] core faculty member(s) for every [#] fellow(s) [as determined by the Subspecialty. In determining the fellow complement, the subspecialty may consider the number of other faculty, patient volume, and work hours.]

#### **B.** Fellow Eligibility

- 1. The fellow must possess a current valid and unrestricted license to practice medicine in the United States or its territories or Canada.
- 2. The fellow must be a graduate of a residency program in neurology [or other specific program types specified by the Subspecialty] accredited by the ACGME, RCPSC, or CanERA.
- 3. The fellow must be board certified or eligible for certification by the ABMS, RCPSC, or CFPC in [as specified by the Subspecialty].

#### C. Appointment of Fellows and Other Students

1. The appointment of fellows who do not meet the eligibility criteria above must not dilute or detract from the educational opportunities of regularly appointed [Subspecialty] fellows. Programs must include these fellows in all reports submitted to UCNS to demonstrate compliance with the approved fellow complement. Fellows who are enrolled without meeting the eligibility criteria must be notified that they may not apply for UCNS certification examinations as graduates of an accredited program.

#### IV. Faculty

The faculty of accredited programs consists of: 1) the program director, 2) core faculty (identified for the purposes of determining the fellow complement), and 3) other faculty. Core faculty are physicians who oversee clinical training in the subspecialty. The program director is considered a core faculty member when determining the fellow complement. Other faculty are physicians and other professionals determined by the Subspecialty to be necessary to deliver the program curriculum. The program director and faculty are responsible for the general administration of the program and for the establishment and maintenance of a stable educational environment. Adequate durations of appointments for the program director and core faculty members are essential for maintaining such an environment. The duration of appointment for the program director must provide for continuity of leadership. Programs may appoint an associate/assistant program contact remains the program director and this role is not formally recognized by UCNS as a separate faculty role.

#### A. Program Director Qualifications

 There must be a single program director responsible for the program. The person designated with this authority is accountable for the operation of the program and he or she should be a member of the faculty or medical staff of the primary institution.

#### 2. The program director must:

- a. possess requisite specialty expertise as well as documented educational and administrative abilities and experience in his or her field,
- be certified by the American Board of Medical Specialties (ABMS), RCPSC, or College of Family Physicians of Canada (CFPC) in [as specified by the Subspecialty],
- c. possess a current, valid, unrestricted, and unqualified license to practice medicine in the state or province of the program, and
- d. if UCNS certification is offered in the subspecialty, be certified, and maintain certification, in [insert appropriate Subspecialty] by the UCNS.
  - i. New programs without a certified program director may apply for accreditation, as long as the application contains an attestation that the program director will become certified at the next available opportunity, which includes certification through the UCNS faculty diplomate pathway. The attestation must contain a statement that the program understands that should the program director fail to achieve certification, the program must immediately submit a program change request appointing an appropriately qualified program director.
  - <u>ii.</u> [If UCNS certification is not offered in the subspecialty, the Subspecialty must identify additional qualifications to determine the program director's qualifications to serve as program director, e.g., other certifications, CME/practice time requirements, etc.]

#### **B.** Program Director Responsibilities

- 1. The program director must:
  - a. oversee and organize the activities of the educational program in all institutions participating in the program including selecting and supervising the faculty and other program personnel at each institution, and monitoring appropriate fellow supervision and evaluation at all institutions used by the program,
  - b. <u>provide required and prepare-accurate statistical and narrative descriptions</u>
     <del>ofprogram submissions the program as requested by the UCNS, including cyclical comprehensive reviews, annual reports, and other items as requested, as well as update the program and fellow records annually,
    </del>
  - ensure the implementation of fair policies and procedures, as established by the sponsoring institution, to address fellow grievances and due process in compliance with the ACGME's or CanERA's institutional requirements,
  - d. monitor fellow stress and wellbeing and link to appropriate resources as available, including mental or emotional conditions inhibiting performance or learning, and drug- or alcohol-related dysfunction,
  - e. have protected time to fulfill the roles and responsibilities of the program director, which is contingent upon the number of fellows enrolled in the fellowship as follows:
    - 1) .01 FTE for 0-1 fellow
    - 2) .05 FTE for 1-2 fellows
    - 3) 0.1 FTE for 2-3 fellows
    - 1)4) 0.15 FTE for 3+fellows and
  - d-f. obtain prior approval of the UCNS for changes in the program that may significantly alter the educational experience of the fellows. Upon review of a proposal for a program change, the UCNS may determine that additional

oversight or a site visit is necessary. Examples of changes that must be reported include:

- 1) change in the program director,
- 2) the addition or deletion of sponsoring, primary, or participating institution(s),
- 3) change in the number of approved fellows, and
- 4) change in the format of the educational program.

#### C. Core Faculty Qualifications

- 1. Each\_core faculty member must:
  - a. possess requisite specialty expertise as well as documented educational and administrative abilities and experience in his or her field,
  - **b. be currently certified by the ABMS, RCPSC, or CFPC in** [as specified by the Subspecialty. The Subspecialty may also require UCNS certification.],
  - c. possess a current, valid, unrestricted, and unqualified license to practice medicine in the state or province of the program, and
  - d. be appointed in good standing to the faculty of an institution participating in the program.
- 2. The core faculty must include at least one neurologist. The neurologist may also be the program director.

#### D. Core Faculty Responsibilities

- There must be a sufficient number of core faculty members with documented qualifications at each institution participating in the program to instruct and adequately supervise all fellows in the program to determine the approved fellow complement.
- 2. Core faculty members must:
  - a. devote sufficient time to the educational program to fulfill their supervisory and teaching responsibilities,
  - b. evaluate the fellows they supervise in a timely manner, and
  - c. demonstrate a strong interest in the education of fellows, demonstrate competence in both clinical care and teaching abilities, support the goals and objectives of the educational program, and demonstrate commitment to their own continuing medical education by participating in scholarly activities.

#### E. Other Faculty

[The Subspecialty may identify additional required faculty, including both physicians and non-physicians, who supplement the core faculty. These may include, but are not limited to, physicists, nurse practitioners, social workers, and clinical researchers. The appropriate qualifications for these additional faculty members must be identified.]

#### V. Facilities and Resources

- A. Each program must demonstrate that it possesses the facilities and resources necessary to support a quality educational experience.
  - Additional professional, technical, and administrative personnel must be provided to adequately support the fellowship training program in attaining its educational and administrative goals.
  - 2. In programs not situated in a department of neurology, evidence must be provided that demonstrates fellows have access to neurological services [defined by the Subspecialty].

- 3. Programs must have appropriate administrative support with protected time to fulfill the roles and responsibilities of a program coordinator. Protected time is contingent upon the number of fellows enrolled in the fellowship as follows:
  - a. .01 FTE for 0-1 fellow
  - b. .05 FTE for 1-2 fellows
  - c. 0.1 FTE for 2-3 fellows
  - a.d. 0.15 FTE for 3+fellows
- 2.4. [Subspecialties may stipulate additional required facilities and resources, including, but not limited to: office space, meeting and classroom space, library and reference facilities, access to laboratories or particular equipment, and exam and patient facilities.

#### VI. Educational Program

- A. Role of the Program Director and Faculty
  - 1. The program director, with assistance of the faculty, is responsible for developing and implementing the academic and clinical program of fellow education by:
    - a. preparing a written statement to be distributed to fellows and faculty and reviewed with fellows prior to assignment, which outlines the educational goals and objectives of the program with respect to the knowledge, skills, and other attributes to be demonstrated by fellows for the entire fellowship and on each major assignment and each level of the program,
    - preparing and implementing a comprehensive, well-organized, and effective curriculum, both academic and clinical, which includes the presentation of core specialty knowledge supplemented by the addition of current information, and
    - c. providing fellows with direct experience in progressive responsibility for patient management.

#### **B.** Duration of Training

- <u>1.</u> Fellowship programs must be no less than [Subspecialty defines: must be no less than 12 months of clearly identifiable training], the entirety of which must be spent in patient-oriented [Subspecialty] education. At least 80% of the fellow's time must be spent in supervised training activities in the practice of [Subspecialty], including didactic and clinical education specific to the subspecialty, electives, and scholarly activities.
  - a. [The Subspecialty is encouraged to define the minimum level of direct patient care that must occur within the program's training duration.]

#### **1.2.** Flexible Fellowships

b. Programs may offer flexible fellowships for a variety of reasons, including, but not limited to: combined clinical/research fellowships or to allow fellows opportunities for work/life balance. Programs that combine clinical and research training (clinician-scientist fellowship program) may be up to 36 months in duration for a one-year program and 48 months for a two-year program. At least [minimum number of months defined by Subspecialty from above] full months of this extended-program period must be spent in patient-oriented [Subspecialty] clinical, educational, and scholarly activity, the distribution of which across this extended period is at the program's discretion.

**2.3.** [Subspecialties may stipulate any additional criteria regarding how that time should be allotted, e.g., contiguous training.]

#### C. Competencies

- A fellowship program must require that its fellows obtain competence in the AGCME Core Competencies to the level expected of a new practitioner in the subspecialty. Programs must define the specific and unique learning objectives in the area including the knowledge, skills, and behaviors required and provide educational experiences as needed in order for their fellows to demonstrate the core competencies.
- 2. The program must use the ACGME Core Competencies to develop competency-based goals and objectives for all educational experiences during the period of fellowship training in [Subspecialty].

#### **D.** Didactic Components

- The program must include structured, fellow-specific educational experiences such as rounds, conferences, case presentations, lectures, and seminars that complement the clinical and self-directed educational opportunities. Together, various educational experiences must facilitate the fellow's mastery of the core content areas and foster the competencies as described above.
- 2. [Subspecialty-specific didactic criteria. The Subspecialty may wish to use the UCNS Content Outline for guidance when developing didactic content.]

#### E. Clinical Components

- The fellow's clinical experience must be spent in supervised activities related to the care of patients with [conditions specified by the Subspecialty]. Clinical experiences may include all training relevant to [Subspecialty], including lectures and individual didactic experiences and journal clubs emphasizing clinical matters.
- 2. [Subspecialty stipulates additional clinical components to be included in the program requirements]

#### F. Scholarly Activities

- The responsibility for establishing and maintaining an environment of inquiry and scholarship rests with the faculty. Both faculty and fellows must participate actively in some form of scholarly activity. Scholarship is defined as activities unrelated to the specific care of patients, which includes scholarship pertaining to research, writing review papers, giving research-based lectures and participating in research-oriented journal clubs.
- 2. There must be adequate resources for scholarly activities for faculty and fellows. [The Subspecialty may stipulate the sufficient laboratory space, equipment, computer services for data analysis, and statistical consultation services required.]
- G. Fellow Supervision, Clinical Experience and Education, and Well-Being Providing fellows with a sound academic and clinical education must be carefully planned and balanced with concerns for patient safety and fellow well-being. Each program must ensure that the learning objectives of the program are not compromised by excessive reliance on fellows to fulfill service obligations. Didactic and clinical education defined by the program requirements must have priority in the allotment of a fellow's time and energy.
  - 1. Fellow Supervision

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- a. All patient care required by the program requirements must be supervised by qualified faculty. The program director must ensure, direct, and document adequate supervision of fellows at all times. Fellows must be provided with rapid, reliable systems for communicating with supervising faculty.
- b. Faculty schedules must be structured to provide fellows with continuous supervision and consultation.
- c. Faculty and fellows must be educated about and meet ACGME or CanERA requirements concerning faculty and fellow well-being and fatigue mitigation.
- 2. Clinical Experience and Education and Well-Being
  - a. Clinical assignments must recognize that the faculty and fellows collectively have responsibility for the safety and welfare of patients. Fellow clinical experience and education supervision, and accountability, and clinical work hours, including time spent on-call, must comply with the current ACGME or CanERA institutional program requirements.

#### VII. Evaluation

#### A. Fellow Evaluation

- 1. Fellow evaluation by faculty must:
  - a. take place at least semi-annually to identify areas of weakness and strength, which must be communicated to the fellow,
  - b.a. use the subspecialty milestones to document fellow experience and performance, and
  - c.b. include the use of assessment results to achieve progressive improvements in the fellow's competence and performance in the ACGME Core Competencies and the subspecialty's core knowledge areas. Appropriate sources of evaluation include faculty, patients, peers, self, and other professional staff.
- The program must include a mechanism for providing regular and timely
  performance feedback to fellows. Issues of unacceptable performance must be
  addressed in a timely fashion and in accordance with the policies and procedures
  of the sponsoring institution.
- 3. Summary and final evaluation of the fellow must:
  - a. be prepared by the program director and should reflect the input of faculty,
  - include a formative\_summative\_evaluation of the fellow's demonstration mastery of learning objectives and mastery of the ACGME Core Competencies\_using the subspecialty's milestones,
  - include a final, summative evaluation by the program director using the subspecialty's milestones to documenting the fellow's demonstration of sufficient competence and professional ability to practice the subspecialty competently and independently, and
  - d. include a statement specifically regarding the fellow's ability to practice the subspecialty independently upon completion of the program.
- **4.** [Subspecialties may stipulate additional requirements regarding fellow evaluation.]

#### **B.** Faculty Evaluation

- 1. The performance of faculty must be evaluated by the program director on an annual basis.
- 2. The evaluations must include a review of their teaching abilities, commitment to the educational program, clinical knowledge, and scholarly activities.

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- 3. These evaluations must include confidential annual written evaluations by fellows. [Subspecialties may stipulate additional requirements regarding faculty evaluations.]
- 3.4. The program should develop a process to evaluate the performance of the program director.

#### C. Program Evaluation and Outcomes

- 1. The effectiveness of a program must be evaluated in a systematic manner. In particular, the quality of the curriculum and the extent to which the educational goals have been met must be assessed.
- 2. Confidential written evaluations by fellows must be utilized in this process.
- 3. The program will use fellow performance and outcome assessment in its evaluation of the educational effectiveness of the fellowship program. At a minimum, the fellow performance on the UCNS certification examination should be used as a measure of the effectiveness of the education provided by the training program. The development and use of clinical performance measures appropriate to the structure and content of each program is encouraged.
- 4. The program must have a process in place for using fellow performance and assessment results together with other program evaluation results to improve the fellowship program.

[Subspecialties may stipulate additional requirements regarding program evaluations and outcomes.]